



05-03-01

Sector \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant: Alan W. H. Grant
Serial No. 09/766,698
Filed: January 22, 2001
Title: Constituency Value Exchange Optimization Method
Docket No.: 48971-023 (AWGK-001)
Group Art Unit: 2161

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. 1.10

"Express Mail" Mailing Label Number EL517538883US

Date of Deposit: May 2, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

Scott A. Ouellette
(Person Mailing)

Scott A. Ouellette
(Signature)

BOX MISSING PARTS
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

Transmitted herewith for filing are the following documents:

- ☒ Response to Notice to File Missing Parts of Nonprovisional Application;
- ☒ Copy of Notice to File Missing Parts;
- ☒ Substitute Drawings Constituting Seven (7) Sheets of Figs. 1-9; and
- ☒ Return Postcard

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1133.

Respectfully submitted,

By Scott A. Ouellette
Scott A. Ouellette, Reg. No. 38,573
McDERMOTT, WILL & EMERY
28 State Street
Boston, MA 02109
Tel (617) 535-4422
Fax (617) 535-3800

Date: May 2, 2001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alan W. H. Grant

Serial No.: 092766,698

Filed: January 22, 2001

Title: Constituency Value Exchange Optimization Method

Docket No.: 48971-023 (AWGK-001)

Group Art Unit: 2161

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. 1.10

"Express Mail" Mailing Label Number EL517538883US

Date of Deposit: May 2, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

Scott A. Ouellette

(Person Mailing)

(Signature)

BOX MISSING PARTS

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, dated March 2, 2001, Applicant encloses substitute drawings constituting Figs. 1-9 inclusive, as seven (7) sheets, in compliance with 37 CFR 1.84.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1133. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

McDERMOTT, WILL & EMERY

Scott A. Ouellette

Reg. No. 38,573

McDermott Will & Emery

28 State Street

Boston, MA 02109-1775

Telephone: (617) 535-4422

Facsimile: (617) 535-3800

Date: May 2, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/766,698	01/22/2001	Alan W. H. Grant	48971-023 (AWGK-001)

CONFIRMATION NO. 1729

FORMALITIES LETTER



OC000000005821161

[Handwritten signature]

Date Mailed: 03/02/2001

McDermott Will & Emery
28 State Street
Boston, MA 02109-1775

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

05/04/2001 HTECKLU1 00000061 501133 09766698

01 FC:205 65.00 CH

Foras Lester

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE